OGE Form 278e (Updated Jan. 2019) (Expires 12/31/21) U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) CEIVED UNITED STATES OFFICE OF Report Type: Candidate FEC MAIL CENTER **GOVERNMENT ETHICS** Year (Annual Report only): Date of Appointment/Termination: Preventing Conflicts of Interest 2019 MAY 14 PM 4: 56 in the Executive Branch Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e) Filer's Information Last Name First Name MI Position Agency Buttigleg Peter Ω Candidate for President of the United States Other Federal Government Positions Held During the Preceding 12 Months: Name of Congressional Committee Considering Nomination (Nominees only): Filer's Certification - I certify that the statements I have made in this report are true, complete and correct to the best of my knowledge: Signature: Date: 14 MAX 2019 Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below) Signature: Date: 5/15/2019 Other Review Conducted By Signature: Date: U.S. Office of Government Ethics Certification (if required): Signature: Date:

Comments of Reviewing Officials:

Reviewed for Apparent Compliance with the Federal Election Campaign Act

<u>Instructions for Part 1</u>

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	er's Name				Page Number	
_	ter Buttigieg					1
Pa	art 1: Filer's Positions Held Out	side United State	s Government			
#	Organization Name	City/State	Organization Type	Position Held	From	To
1.	City of South Bend	South Bend, IN	Municipality	Mayor	January 2012	Present
2.	South Bend Symphony Orchestra	South Bend, IN	Non-profit	Board Member	November 2013	Present
3.	Veterans Community Connections	South Bend, IN	Non-profit	Board Member	May 2018	March 2019
4.	South Bend Educational Foundation	South Bend, IN	Non-profit	Board Member	January 2013	December 2018
5.	Accelerate Indiana's Municipalities (AIM)	Indianapolis, IN	IRC sec. 115 entitiy comprised of Indiana cities and towns	Board Member	January 2012	Present
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Pa	rt 2: Filer's Employment Assets & Income and R	etirer	nent Accounts			
#	Description	EIF	Value	Income Type	Income Amount	
	Salary as Mayor of South Bend, IN (January 2018 - May 2019)	N/A		Salary		\$149,725
	McKinsey & Company Defined Contribution Retirement Plan	No				
	Special Situations Enhanced-Liquidity USD	Yes	\$15,001 - \$50,000		None (or less than \$201)	
	Passive U.S. Equities	Yes	\$50,001 - \$100,000		None (or less than \$201)	
	Passive Non-U.S. Equities	Yes	\$1,001 - \$15,000		None (or less than \$201)	
3.	Liveright Publishing Corp., division of W.W. Norton & Company. Print edition book "Shortest Way Home."	N/A		royalties advance	\$15,001 - \$50,000	\$45,000
	(value not readily ascertainable)					
	Recorded Book, Inc. Audio edition book "Shortest Way Home." (value not readily ascertainable)	N/A		royalties narration fee	None (or less than \$201)	\$3000
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Pa	rt 3: Filer's Employi	nent Agreement	s and Arrangements	
	Employer or Party	City/State	Status and Terms	Date
1.	McKinsey & Company	New York, NY	I will continue to participate in the McKinsey & Company defined contribution fund. Neither McKinsey & Company, nor I, have contributed to the plan since I left McKinsey in 2009, and neither McKinsey & Company, nor I will make any further contributions to the plan.	August 2007
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Peter Buttigieg (Not Applicable to Candidates) Part 4: Filer's Sources of Compensation Exceeding \$5,000 in a Year # Source Name City/State Brief Description of Duties 2.	Number 5
# Source Name City/State Brief Description of Duties	
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Pa	art 5: Spouse's Employment Assets & Income and	Retir	ement Accounts			
	Description	EIF	Value	Income Type	Income Amount	
1.	Montessori Academy, Mishawaka, IN (resigned January 5, 2019)	N/A		Salary		
2.	South Bend Civic Theatre	N/A		Part-time hourly fee		
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a	rt 6: Other Assets and Income					
	Description	EIF	Value	Income Type	Income Amount	
	U. S. credit union account (cash) (joint account)	N/A	\$1,001 - \$15,000		None (or less than \$201)	
	Alphabet Inc Cap Stk Cl A (GOOGL)	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	
	Apple Inc (AAPL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
	USAA Growth Fund (USAAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)	
	USAA Intermediate Term Bond (USIBX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000	
	Invesco Exchange Traded Fund S&P 500 Equal Weight (RSP)	Yes	\$1,001 - \$15,000		None (or less than \$201)	
	Ishares Core S&P 500 ETF (IVV)	Yes	\$1,001 - \$15,000		None (or less than \$201)	
	USAA Precious Metals and Minerals (USAGX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000	
	U.S. brokerage account (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)	
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Pa	rt 7: Transactions				
	Description	Тур	oe 1	Date	Amount
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	rt 8: Liabilities					
ŧ	Creditor Name	Туре	Amount	Year Incurred	Rate	Term
1.	Stafford loans serviced by American Education Services (The loans in lines 1.1 - 1.5 aggregate to more than the reporting threshold, but balances of the individual loans are below the reporting threshold.)	Student Loans	\$10,001 - \$15,000			
1.1		Student Loans		2009	6%	228 months
1.2		Student Loans	1-	2009	6%	154 months
1.3		Student Loans		2009	6.8%	228 months
1.4		Student Loans		2009	6.8%	228 months
1.5		Student Loans		2009	5.6%	152 months
2	Stafford loans serviced by Great Lakes Higher Education Corp. (The loans in lines 2.3-2.15 aggregate to more than the reporting threshold, but the balances of the individual loans are below the reporting threshold.)	Student Loans	\$100,001 - \$250,000			
.1		Student Loans	\$15,001 - \$50,000	2016	5.31%	Up to 25 years under an income based repayment plan.
.2.		Student Loans	\$15,001 - \$50,000	2015	5.84%	Up to 25 years under an income based repayment plan.
.3.		Student Loans		2010	5.6%	Up to 25 years under an income based repayment plan.
.4		Student Loans		2010	5.6%	Up to 25 years under an income based repayment plan.

Instructions for Part 8

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	rt 8: Liabilities	I-				
	Creditor Name	Туре	Amount	Year Incurred	Rate	Term
2.5	-	Student Loans		2010	4.5%	Up to 25 years under an income based repayment plan.
2.6.		Student Loans		2010	6.8%	Up to 25 years under an income based repayment plan.
2.7		Student Loans		2010	6.8%	Up to 25 years under an income based repayment plan.
2.8		Student Loans		2010	6.8%	Up to 25 years under an income based repayment plan.
2.9		Student Loans		2010	6.8%	Up to 25 years under an income based repayment plan.
2.1		Student Loans		2011	6.8%	Up to 25 years under an income based repayment plan.
2.1		Student Loans	-1	2011	3.4%	Up to 25 years under an income based repayment plan.
2.1		Student Loans		2011	6.8%	Up to 25 years under an income based repayment plan.
2.1		Student Loans		2014	3.86%	Up to 25 years under an income based repayment plan.

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Part 8: Liabilities					
# Creditor Name	Туре	Amount	Year Incurred	Rate	Term

2.1	Student Loans	2016	5.84%	Up to 25 years under an income based repayment plan.
2.1	Student Loans	2017	5.31%	Up to 25 years under an income based repayment plan.

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Part 9: Gifts and Travel Reimbursements									
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Attachment 1 to Peter P. Buttigieg OGE 278

On June 15, 2017, Mayor Peter Buttigieg agreed to a \$75,000 advance against royalties under an agreement between Liveright Publishing Corporation, a wholly owned subsidiary of W. W. Norton & Company, Inc., for a memoir then tentatively entitled *Letters from Flyover Country*, eventually published as *Shortest Way Home*. Mayor Buttigieg received the last advance payment for *Shortest Way Home* in 2019. Royalties for the book are: 10% of the publisher's catalog retail price for the first 5,000 hardcover book sales; 12.5% of the publisher's catalog retail price on the next 5,000 hardcover book sales; 15% of the publisher's catalog retail price on all hardcover book sales thereafter; 7.5% of the publisher's catalog retail price on all paperback book sales, and other standard royalties. *Shortest Way Home* was published on February 12, 2019.

Mayor Buttigieg also has an agreement with Recorded Books, Inc., for an audio version of *Shortest Way Home*. During the reporting period, Mayor Buttigieg received a \$3000 narration fee from Recorded Books Inc. No royalties or advance against royalties were received during the reporting period.